



CARBIOS

Enzymes powering the Circular Economy

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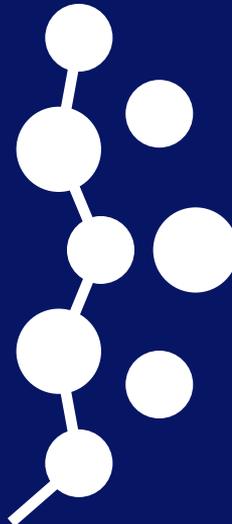
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CARBIOS IN A SNAPSHOT

April, 2022

CORPORATE PRESENTATION

Euronext Growth Paris: ALCRB

ALCRB

EURONEXT

GROWTH



CARBIOS

Enzymes powering the Circular Economy

Carbios at a glance

THE FIRST AND ONLY COMPANY TO HAVE DEVELOPED BIOLOGICAL TECHNOLOGIES FOR THE END-OF-LIFE OF PLASTICS AND TEXTILES

Founded in
2011

41 patent
families

Multi-sources
revenue
business model

Strategic
agreement with
renowned
brand owners

Exclusive
partnership

novozymes[™]
Rethink Tomorrow

80 employees

Management team



**Emmanuel
LADENT**

CEO

30 years' experience in the automotive sector

- **MICHELIN GROUP:** President – CEO Global B2C Automotive Business Line
- Former Executive positions within the Michelin Group, notably as President B2C Global Agricultural & Off Highway Transportation Business Line, Managing Director B2C Passenger Car & Motorcycle Business Lines (South & Central America), VP Sales, Global Account Management, Operations & New Business Development (North America & China)
- Graduated from Neoma Business School, Executive Programs : Harvard Business School and Stanford University



**Prof. Alain
MARTY**

**Chief Scientific
Officer**

International expert in enzymology & biological processes

- **INSA** Institut National des Sciences Appliquées, Toulouse : Professor and Head of a research group
- **AERES** Agence d'Evaluation de la Recherche et de l'Enseignement Supérieur and **ANR** Agence Nationale de la Recherche: Evaluator (France's National Education Research Agencies)
- PhD in Biology, Biochemical Engineer, University of Toulouse



**Martin
STEPHAN**

Deputy CEO

30 years' experience in the chemical industry

- **CHEMOURS:** Global Director of the Fluorotelomers business, EMEA Director, Sourcing, Logistics & Real Estate
- **DU PONT DE NEMOURS:** Global Product Manager, Business and Market Manager, Sales Director
- **ATOFINA:** Global Business Manager Fluorotelomers
- **ELF ATOCHEM:** Various positions in Finance
- MS in Business Management, HEC Paris, BA Economics Paris University.



**Lise
LUCCHESI**

**Intellectual Property
Director**

Biotechnology engineer & Intellectual Property expert

- **METABOLIC EXPLORER:** Market analyst
- Management of the Company's patents and trademarks portfolio. Lise also holds responsibility for contracts involving intellectual property rights (license, research collaborations and services, etc.)
- Biotechnology Engineer, CEIPI Center for International IP Study, Strasbourg



**Kader
HIDRA**

Chief Financial Officer

Financial markets expert

- **CITEGESTION (EDF):** CEO of a digital start-up specialized in asset management and software development for Smart Cities
- **EDF:** Investor & Markets Director (Head of IR)
- **MORGAN STANLEY:** Utilities & Clean Energy Specialist Equity Sales
- **BERENBERG:** Utilities & Clean Energy Specialist
- Printing & Packaging Engineer (Ecole Polytechnique Grenoble), MBA from Duke University (Fuqua Business School).



**Vanina
VARLAMOFF**

**Legal Affairs and Human
Resources Director**

Lawyer, Strategic contracts manager & HR supervisor

- **LAWYER,** graduated from Paris' Bar School
- After spending few years as a lawyer in Paris, mainly in the fields of Intellectual Property rights protection and communication law, Vanina joined Carbios in 2017 as Legal Manager. Now Director of Legal Affairs and Human Resources, she is mainly in charge of analyzing and drafting strategic contracts. She also supervises the Human Resources department of the Company.

Ambition



LEAD THE TRANSITION TOWARDS SUSTAINABLE PLASTICS ECONOMY BY DEVELOPING TECHNOLOGIES FOR THE END OF LIFE OF PLASTICS

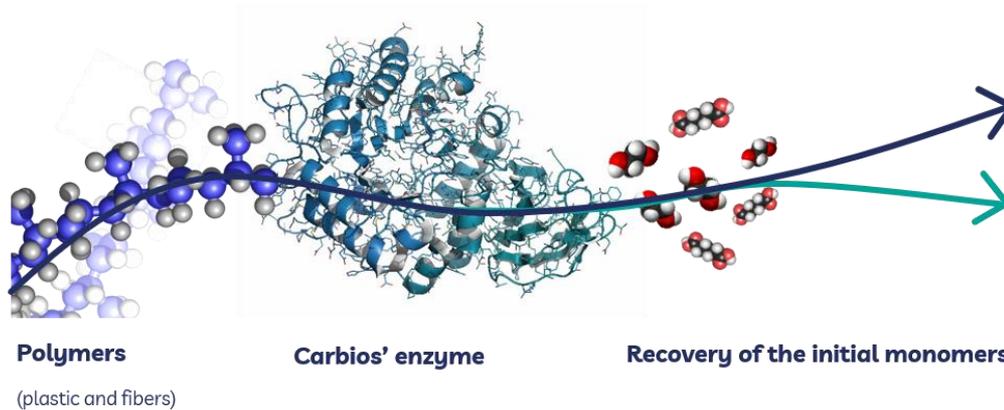
Technologies



REVOLUTIONARY ENZYMES FOR INFINITE RECYCLING AND BIODEGRADATION OF PLASTICS

Enzymes to fully break down plastics

Enzymes are the new high-performance catalysts for the chemical industry



ENZYMATIC DEPOLYMERIZATION OF THE POLYMERS

Repolymerization of monomers into polymers



ENZYMATIC RECYCLING

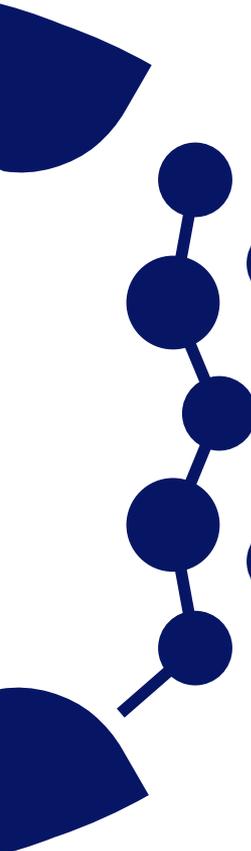
POTENTIAL RECOVERIES



BIODEGRADATION

Bioassimilation of the products of degradation by microorganisms present in nature

Agenda



1

Adressing high growth potential markets with strong drivers

2

C-ZYME®: world's first enzymatic technology to recycle PET-based plastics and fibers

3

EVANESTO®: unique enzymatic biodegradation technology for PLA-based plastics

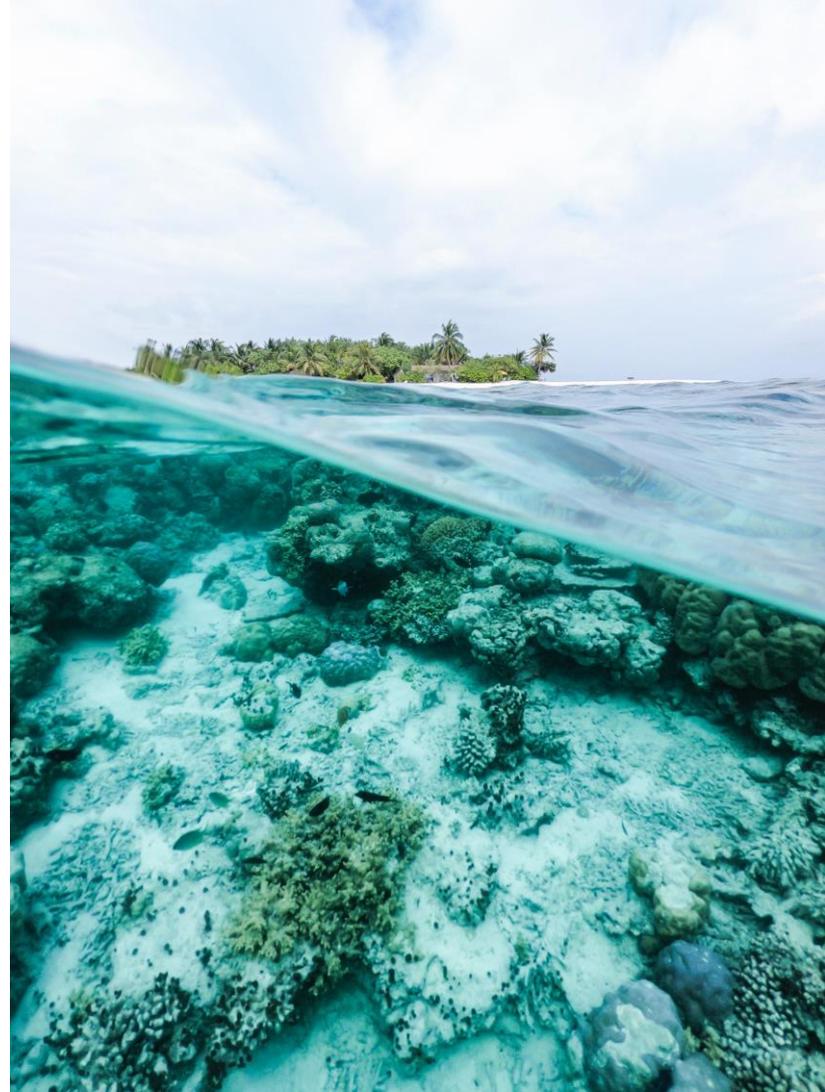


CARBIOS

Enzymes powering the Circular Economy



ADDRESSING HIGH GROWTH
POTENTIAL MARKET WITH STRONG
DRIVERS



The situation – Environmental issue

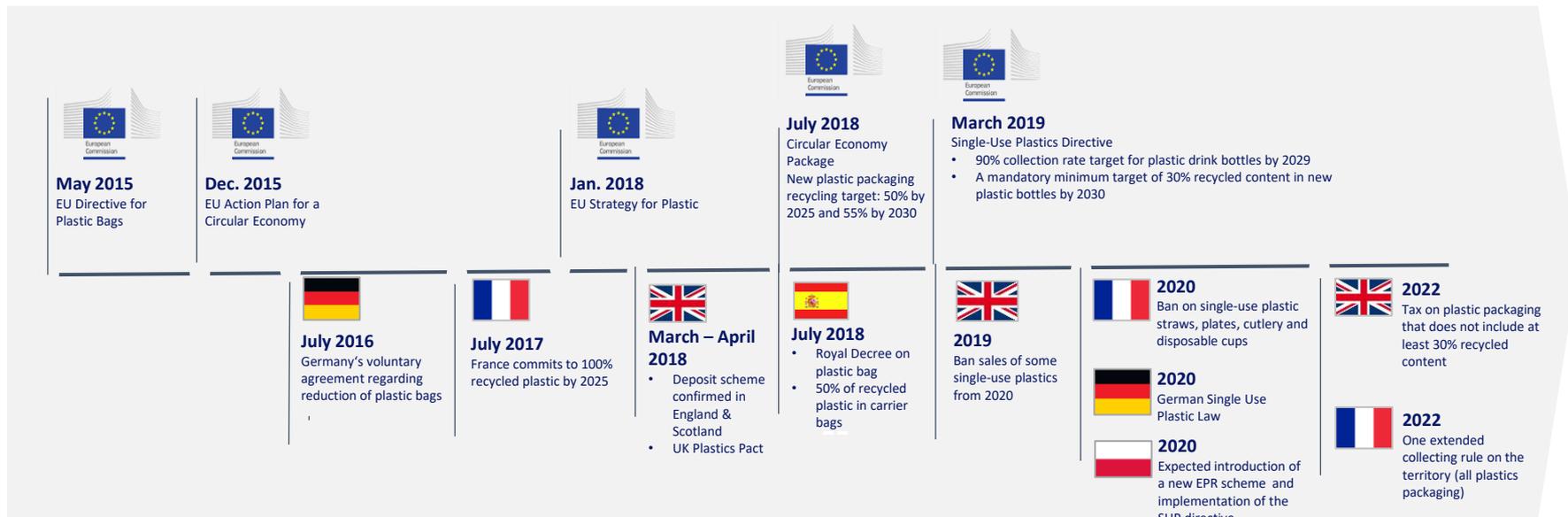
Each year...

- 86% of packaging waste goes unrecycled globally⁽¹⁾.
- **350 to 400 million tons**⁽²⁾ of plastic are produced worldwide, including **90 million tons of PET**⁽³⁾ worth approx. \$80 billion (a market growing by 4% p.a.⁽⁴⁾).
- **150 million tons**⁽⁵⁾ of plastic waste are generated including **9 million tons**⁽⁶⁾ that ultimately end up in the natural environment, particularly in our seas and oceans.
- **500 billion units**⁽⁷⁾ of plastic bottles are produced each year and fewer than half are collected for recycling.
- Up to **\$120 billion**⁽⁸⁾ worth of plastic material is disposed of just after one single-use.



The situation – A growing regulatory pressure

Regulatory pressure is also growing at both the EU and national levels.



Many European initiatives and associations such as the European Plastics Pact and the Circular Plastic Alliance, bring together several actors from the industry, academics, and public authorities, to accelerate the transition towards Circular Economy. This is made possible by considering the whole plastic value chain, and notably by aiming at boosting the recycled plastic EU market.

Strong drivers for more sustainable solutions



<p>12 RESPONSIBLE CONSUMPTION AND PRODUCTION</p>	<p>13 CLIMATE ACTION</p>	<p>14 LIFE BELOW WATER</p>
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July 2020: "by 2025, 100% of our plastic packaging will be refillable, reusable, recyclable or compostable"



Oct. 2016: "design 100% of its packaging to be recyclable, compostable or biodegradable by 2025"



Jul. 2017: "100% of packaging recyclable, reusable or compostable by 2025" and "Recycled plastic content to at least 25% by 2025"



Jan. 2019: "Nestlé Waters will increase the recycled PET content in its bottles to 35% by 2025"



Sept 2019: "Our ambition? Offer 100% sustainable plastic bottles made from recycled or bio-sourced materials for our entire beverage portfolio."



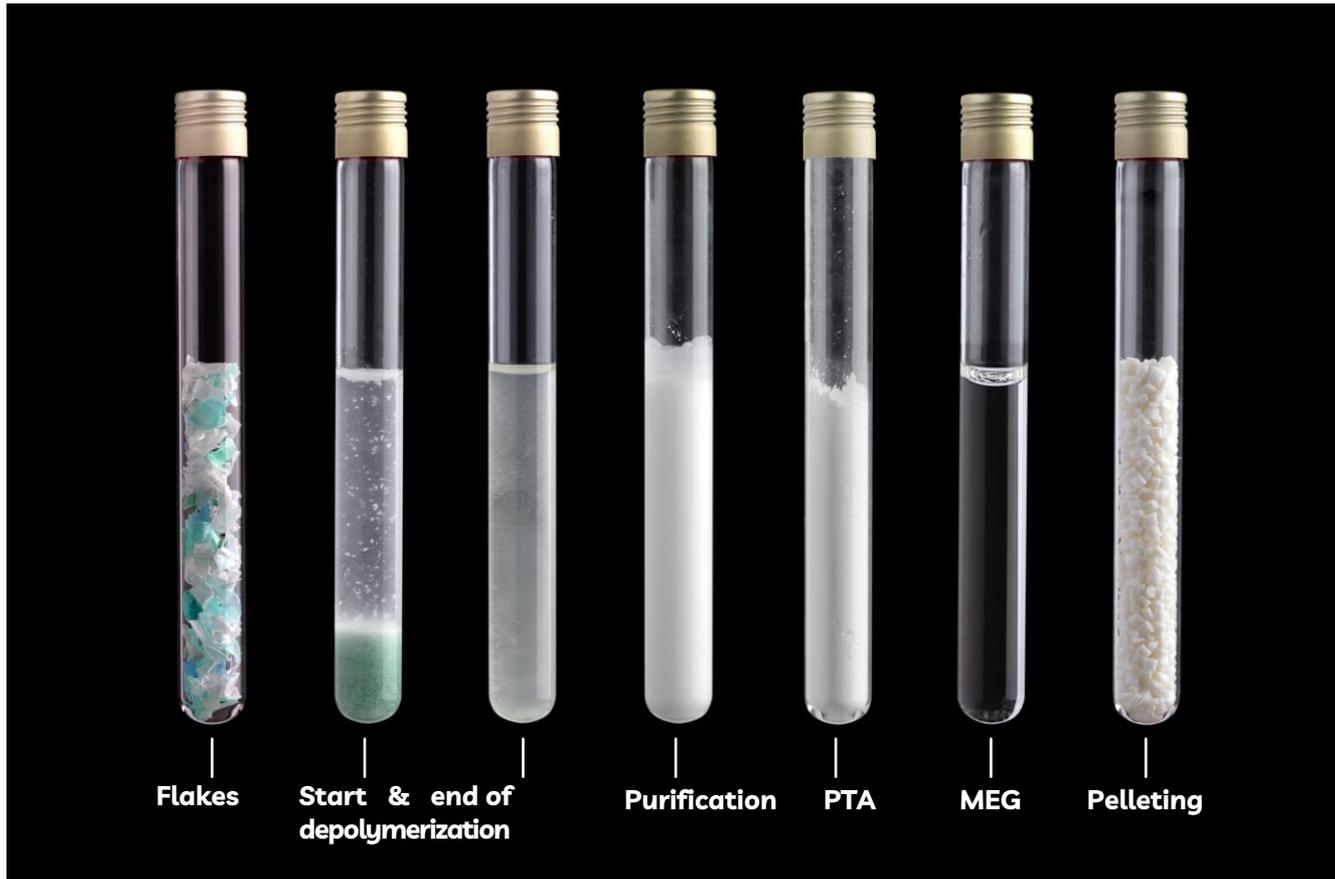
Mar. 2019: H&M Group signatory of the New Plastics Economy Global Commitment. "Our business to become 100% circular and renewable"



C-ZYME®: WORLD'S FIRST
ENZYMATIC TECHNOLOGY TO
RECYCLE AND REUSE PET-BASED
PLASTICS AND FIBERS



How does it work?



Benefits of the technology



RECYCLING PET PLASTICS INFINITELY AND CONTRIBUTING TO ACHIEVING 100% RECYCLED PET CONTENT IN NEW PRODUCTS

- 100% recycled PET
- A process that can handle all forms of PET plastics (clear, colored, opaque, multi-layer...) and polyester fibers
- No inhibition of the enzyme by colorants, pigments, carbon black or other polymers (PE, PA, PVC...)
- Depolymerization at low temperature, atmospheric pressure, water based
- Diversion of all PET-based plastics and polyester fibers from landfills and incineration



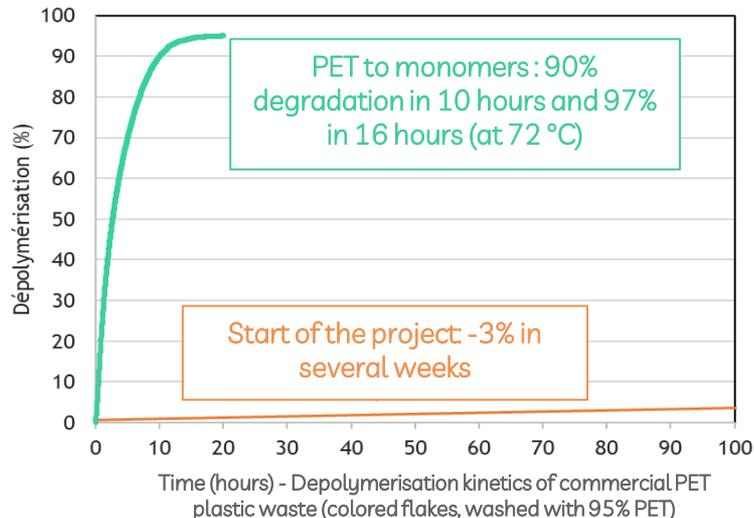
Carbios journey towards industrialization

Scientific validation

- Publication in Nature¹, in April 2020, co-authored by Carbios' CSO together with scientists from Carbios and the Toulouse Biotechnology Institute.

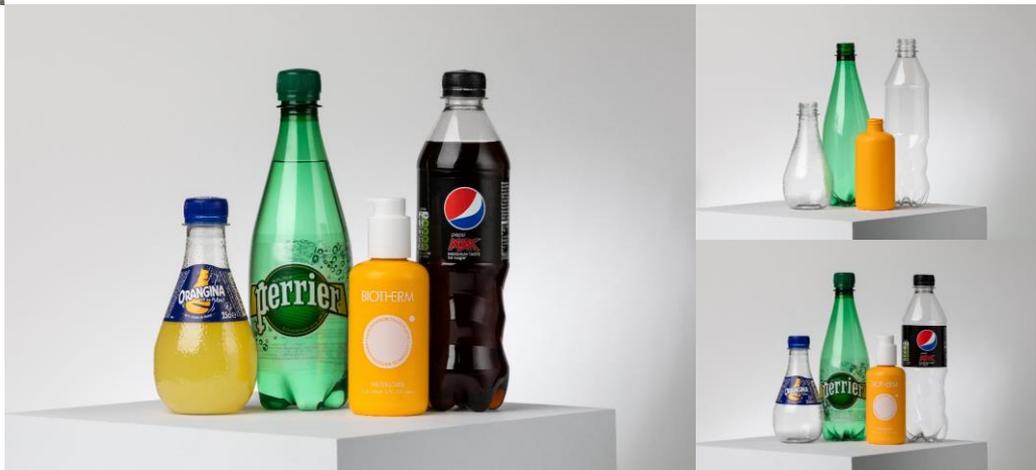


¹: Vol 580, 9 April 2020



Market validation

- Our 4 brand-owners partners, L'Oréal, Nestlé Waters, PepsiCo and Suntory Beverage and Food Europe have presented in June 2021 one of their packaging manufactured in industrial conditions, made with product coming from our technology, food-contact approved.



World's first food-grade 100% rPET bottles produced from enzymatically recycled PET
[\(See Press release published on June 24, 2021\)](#)

Carbios demonstration-scale facility

Industrial validation

Sept. 2021:

- Successful start-up of Carbios demonstration facility, which prefigures the design and operations of future industrial units.

Objectives by the end of 2022:

- Technical data generation
- Main parameters definition for each step of the enzymatic recycling process
- Future industrial Unit operations planification
- Fully operational Process Design Package (PDP)



Carbios depolymerization Unit for the conversion of PET waste into monomers
Engineering partner for the design and construction:



Carbios' first-of-a-kind manufacturing plant for fully bio-recycled PET in partnership with Indorama Ventures in France (announced on February 23rd, 2022)

WHAT / WHERE

- Construction of the world's first PET biorecycling plant on Indorama Ventures' PET production site in France (Longlaville), following the completion in the next few months of a due diligence process by both parties
- PET waste processing capacity estimated at c. 50,000 tons per year, eq. to 2 billion PET bottles or 2,5 billion PET trays

WHO / WHEN

- High profile partnership: Indorama Ventures, the world largest producer for recycled PET, operates 19 PET production plants on four continents in 11 countries
- Operational launch expected in 2025⁽¹⁾

HOW MUCH

- Capital investment required expected to be around €150 million for Carbios core technology and c. €50 million for infrastructure preparation of the site
- Co-investment by Indorama Ventures under study
- Strong support from the French Government and the Region Grand-Est, with significant non-dilutive financing⁽²⁾

(1) Estimated date, depending on the grant of the authorizations to build and operate and on the terms and conditions remaining to be defined for this collaboration between both parties.

(2) Conditioned to aid to the European Commission and in-additional assessments by French authorities' notification

What we plan to deliver next?



(1) Estimated date, depending on the grant of the authorizations to build and operate and on the terms and conditions remaining to be defined for this collaboration between Carbios and Indorama Ventures.

PET Brand Owners consortium agreement

GLOBAL KEY PLAYERS TEAM UP TO BOOST RECYCLABILITY OF PET PLASTIC PRODUCTS

- A 4-year agreement signed in April 2019
- Accelerate the technology's readiness and bring it to full industrial scale
- Increase the availability of high-quality recycled plastics
- Demonstrate the technical, economic and environmental efficiency of Carbios' technology
- Support the structuring of an industrial value chain for an efficient supply of consumer-grade PET



L'ORÉAL



Carbios creates value for all players of the value chain



PET Recycling: our business model





CARBIOLICE

Biodegradability is the future

EVANESTO[®], a unique enzymatic biodegradation technology for PLA-based single use plastics



Carbiolice industrial production facility

Carbiolice at a glance



Founded in 2016	26 employees
1 shareholder  CARBIOS Enzymes powering the Circular Economy	9 Patent families



- An enzyme-based additive
- Makes PLA-based plastics fully compostable, even from home

Jan. 2019: Joint Development Agreement between Carbios,  Carbiolice and **NOVOZYMES**
Rethink Tomorrow

How does it work?

Step by step



ACCELERATES THE BIODEGRADATION OF PLA-BASED PLASTICS AND GUARANTEES COMPOSTABILITY IN LESS THAN 200 DAYS.

Key takeaways

1

A pioneer in the development of enzymes for plastic processing

2

Breakthrough technologies to disrupt the plastic industry

3

Huge market opportunities and growth potential

4

Numerous strategic partnerships with worldwide blue-chip industry experts

5

Major milestones ahead including reaching licensing stage early 2023 and first substantial revenues generation

6

Highly experienced management supported by renowned shareholders and partners

APPENDIX



Intellectual Property

Carbios holds 41 patent families worldwide, including 19 protecting its recycling process as well as the associated proprietary PET-degrading enzymes



Patents granted in:

Europe, United-States, Canada, Mexico, China, India, Japan...



WORLDWIDE EXCLUSIVE EXPLOITATION RIGHTS

On all results of R&D collaborations

A UNIQUE KNOW-HOW

In the development of bioprocesses combining enzymes & polymers

A STRONG INTELLECTUAL PROPERTY

Protecting the applicative use of enzymes, processes and products

A dedicated IP strategy

19 PATENT FAMILIES PROTECTING THE ENZYMATIC RECYCLING OF PET

BIODIVERSITY (PET ENZYMES)
11 PATENT FAMILIES

BIORECYCLING PROCESS
8 PATENT FAMILIES

- A unique know-how in the development of applicative bioprocesses combining enzymes and polymers

... from which Carbios owns worldwide exclusive exploitation rights



IP to be continuously reinforced

Share & Shareholders

as of December 31, 2021

MARKET Euronext Growth Paris

MNEMO/REUTERS/BLOOMBERG ALCRB / ALCRB.PA / ALCRB:FP

SHARES ISIN CODE FR0011648716

NUMBER OF SHARES 11,179,472

ICB CLASSIFICATION Chemistry / Speciality chemistry

INDICES Euronext Growth All-share, Euronext Growth Bpifrance Innovation, Euronext Tech Croissance, CAC PME, Enternext PEA-PME

FRENCH PEA-PME ELIGIBILITY Yes

ANALYST COVERAGE



Baptiste de Leudeville



BRYAN, GARNIER & CO

Xavier Regnard



GILBERT DUPONT

Alexandre Letz



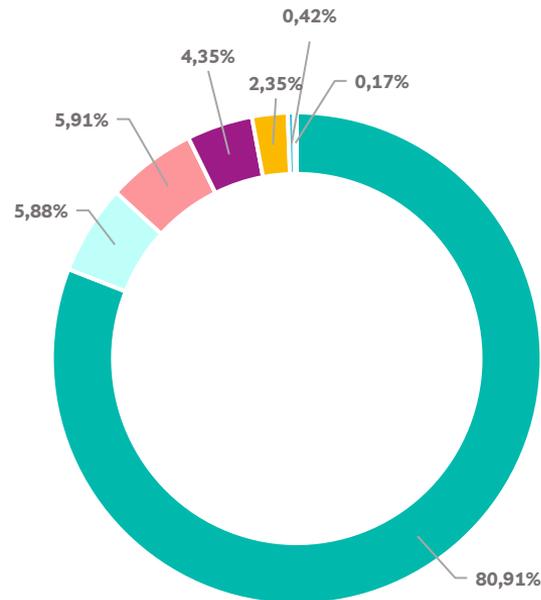
ODDO BHF

Hana Maalej



EXANE BNP PARIBAS

Laurent Gelebart



- Free Float
- HNWI
- BOLD (L'Oréal)
- Michelin Ventures
- Group L'Occitane
- Truffle Capital
- Treasury shares & Directors



2021 and post-closing Highlights

Scientific milestones

- **Michelin validates the use of Carbios' enzymatic recycling technology** for PET plastic waste in its tires (April 2021)
- **World's first samples of food-grade PET plastic bottles** manufactured from **enzymatically recycled plastic**. (June 2021)
- Production of a 100% enzymatically recycled **white fiber from colored textile waste** (March 2022)

Industrial scale-up

- **Successful start-up of the Company's PET recycling demonstration facility** (Sept. 2021)
- **Carbios to build a first-of-a-kind manufacturing plant for fully bio-recycled PET in partnership with Indorama Ventures in France** (February 2022)

Finance

- **Successful €114 million capital increase** (May 2021)
- **€3 million grant from the EU through the program LIFE** (Nov. 2021)
- **€30 million EIB loan received by Carbios** (Dec. 2021) to be drawn down in the first half of 2022

Corporate

- **Full takeover of Carbiolice through the acquisition of the SPI fund's equity** (June 2021)
- Appointment of **Philippe Pouletty as Chairman of the Board of Directors** (April 2022)

€30 million EIB loan granted to Carbios (Dec 20, 2021)

Financial terms

- Disbursement in one single tranche expected during the first quarter of 2022
- Fixed semi-annual cash interest: 2.5%
- Maturity: 8 years
- Warrants:
 - Issuance of 2.5% of the fully diluted share capital in warrants to the benefit of the EIB, of which :
 - 1.25% with a strike at €40 per share ; and
 - 1.25% with a strike at €38.8861 per share¹.

¹: Exercise price corresponding to the volume-weighted average of the trading price of an ordinary Share of the Company over the last three (3) Trading Days preceding the fifth day (5) day prior to the Signing Date.

FY 2021 Consolidated statement of income

Consolidated statement of Income (in thousand euros)	December 31, 2021	December 31, 2020
Operating Income	105	128
Net Research and Development expenses	(7,727)	(3,176)
Sales and marketing expenses	(1,976)	(1,253)
General and administrative expenses	(6,251)	(1,983)
Operating expenses	(15,953)	(6,412)
Other operating income and expenses (1)	21,211	603
Operating income (2)	5,363	(5,682)
Financial income	(454)	(313)
Income before tax	4,908	(5,995)
Income Tax	-	-
Share of profit (loss) of equity affiliates (3)	(1,128)	(2,278)
Net income (loss) for the period (4)	3,780	(8 273)
IFRS accounting impact related to the takeover of Carbiolice:		
Other operating income and expenses	21,211	603
Share of profit (loss) of associates (3)	(1,128)	(2,278)
Operating income "adjusted" of the IFRS impacts related to the takeover of Carbiolice (2)-(1)	(15,848)	(6,285)
Net Income "adjusted" of the IFRS impacts related to the takeover of Carbiolice (4)-(1)-(3)	(16,303)	(6,598)

FY 2021 Consolidated statement of financial position

Consolidated statement of financial position	December 31, 2021	December 31, 2020
(in thousand euros)		
ASSETS		
Goodwill	20,583	-
Intangible assets	23,188	1,086
Tangible assets	16,466	4,793
Right-of-use assets	6,989	1,402
Equity accounted securities	-	8,272
Financial assets	388	371
Non-current assets	67,614	15,924
Trade receivables	16	155
Other current assets	6,128	2,201
Cash and cash equivalent	104,956	29,077
Current assets	111,120	31,433
Total assets	178,734	47,356

FY 2021 Consolidated statement of financial position

Consolidated statement of financial position	December 31, 2021	December 31, 2020
(in thousand euros)		
EQUITY AND LIABILITIES		
Share capital	7,826	5,674
Share and contribution premium	146,337	59,711
Consolidated reserves	(10,604)	(10,260)
Retained earnings	(600)	(14,555)
Net income – share attributable to equity holders of the parent company	3,780	(8,273)
Shareholders' equity	146,739	32,297
Provisions – Non-current portion	202	159
Loans and financial liabilities – Non-current portion	11,941	8,221
Lease liabilities – Non-current portion	5,358	1,097
Deferred tax liabilities	1,694	-
Non-current liabilities	19,194	9,477
Provisions - Current portion	76	-
Loans and financial liabilities – Current portion	1,376	1,125
Lease liabilities – Current portion	1,256	360
Trade payables	5,137	1,683
Other current liabilities	4,956	2,415
Current liabilities	12,801	5,582
Total liabilities and equity	178,734	47,356

FY 2021 Consolidated cash flow statement

Consolidated cash flow statement	December 31, 2021	December 31, 2020
<i>(in thousand euros)</i>		
Cash at beginning of year	29,077	15,895
Cash flow from operating activities	(8,929)	(4,667)
Cash flow from investing activities	(22,837)	(9,252)
Cash flow from financing activities	107,646	27,101
Change in cash position	75,880	13,182
Cash at end of year	104,956	29,077

Our Board of Directors



**DR. PHILIPPE
POULETTY**

Chairman

- Co-Founder & Executive Director of Truffle Capital



**JEAN
FALGOUX**

- Ex Corp. Officer Ajinomoto Inc.
- Ex VP Ajinomoto Europe
- Ex Senior VP Hoechst Roussel



**JACQUELINE
LECOURTIER**

- Ex General Director ANR (National Research Agency)
- Ex Scientific Director IFP (A Public Research Innovation Organization)



**JACQUES
BREUIL**

- Ex General Secretary of the Barbier Group



**ALAIN
CHEVALLIER**

- Partner Life Sciences, Truffle Capital
- Ex CFO Sanofi-Aventis France



**MIEKE
JACOBS**

- Independent executive team facilitator
- Senior advisor to executive teams and transformational faculty



**VINCENT
KAMEL**

- Managing Director of the Solvay Polyamide Division
- Director of Coatis Business Unit
- Asia Director for Engineering plastics



**Laurent
SCHMITT**

- BOLD, Business Opportunities for L'Oréal Development is a private equity fund created by L'Oréal to support the development of innovative start-ups.



**Nicolas
SEEBOTH**

- Michelin Venture is a fund created by Michelin and launched in 2018 in order to materialize Michelin's open innovation approach and to invest in high-tech materials that include a sustainable development dimension.

Our Values

Our corporate culture focuses on 4 strong pillars shared by our employees and our partners.

RESPECT for people: Our employees are our most precious assets. At Carbios, we aim to respect people in their diversity and ensure an ideal, stimulating work environment. The key importance of respect at Carbios also concerns the integrity of our words and actions with our partners.

COMMITMENT for the Planet: By proposing sustainable solutions to rethink the end-of-life of plastic materials and textiles, Carbios shows its commitment to protecting the environment and its desire to support a Circular Economy that benefits future generations.

INNOVATION benefiting industrial reality: Thanks to high level scientific research combining for the first time the world of enzymology and plastics, we innovate every day to be able to offer sustainable and industrial solutions that address the major environmental and societal challenges of our time.

AGILITY serving performance: The agility of our teams along with the diversity of their expertise is one of Carbios' major strengths enabling us to provide the best in innovation to our partners.



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Crédit: Carbios / Jérôme Pallé / Skotchprod