



CARBIOS

Enzymes powering the Circular Economy

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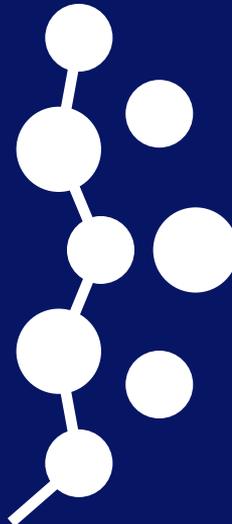
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CARBIOS IN A SNAPSHOT

January 2022

CARBIOS CORPORATE PRESENTATION

Euronext Growth Paris: ALCRB

ALCRB

EURONEXT

GROWTH



CARBIOS

Enzymes powering the Circular Economy

Carbios at a glance

THE FIRST AND ONLY COMPANY TO HAVE DEVELOPED BIOLOGICAL TECHNOLOGIES FOR THE END-OF-LIFE OF PLASTICS AND TEXTILES

Founded in
2011

38 patent
families

Multi-sources
revenue
business model

Strategic
agreement with
renowned
brand owners

Exclusive
partnership

novozymes[™]
Rethink Tomorrow

80 employees

Management team



**Emmanuel
LADENT***

CEO

30 years' experience in the automotive sector

- **MICHELIN GROUP:** President – CEO Global B2C Automotive Business Line
- Former Executive positions within the Michelin Group, notably as President B2C Global Agricultural & Off Highway Transportation Business Line, Managing Director B2C Passenger Car & Motorcycle Business Lines (South & Central America), VP Sales, Global Account Management, Operations & New Business Development (North America & China)
- Graduated from Neoma Business School, Harvard Business School and Stanford University



**Prof. Alain
MARTY**

**Chief Scientific
Officer**

International expert in enzymology & biological processes

- **INSA** Institut National des Sciences Appliquées, Toulouse : Professor and Head of a research group
- **AERES** Agence d'Evaluation de la Recherche et de l'Enseignement Supérieur and **ANR** Agence Nationale de la Recherche: Evaluator (France's National Education Research Agencies)
- PhD in Biology, Biochemical Engineer, University of Toulouse



**Martin
STEPHAN**

Deputy CEO

30 years' experience in the chemical industry

- **CHEMOURS:** Global Director of the Fluorotelomers business, EMEA Director, Sourcing, Logistics & Real Estate
- **DU PONT DE NEMOURS:** Global Product Manager, Business and Market Manager, Sales Director
- **ATOFINA:** Global Business Manager Fluorotelomers
- **ELF ATOCHEM:** Various positions in Finance
- MS in Business Management, HEC Paris, BA Economics Paris University.



**Lise
LUCCHESI**

**Intellectual Property
Director**

Biotechnology engineer & Intellectual Property expert

- **METABOLIC EXPLORER:** Market analyst
- Management of the Company's patents and trademarks portfolio. Lise also holds responsibility for contracts involving intellectual property rights (license, research collaborations and services, etc.)
- Biotechnology Engineer, CEIPI Center for International IP Study, Strasbourg



**Kader
HIDRA**

Chief Financial Officer

Financial markets expert

- **CITEGESTION (EDF):** CEO of a digital start-up specialized in asset management and software development for Smart Cities
- **EDF:** Investor & Markets Director (Head of IR)
- **MORGAN STANLEY:** Utilities & Clean Energy Specialist Equity Sales
- **BERENBERG:** Utilities & Clean Energy Specialist
- Printing & Packaging Engineer (Ecole Polytechnique Grenoble), MBA from Duke University (Fuqua Business School).



**Vanina
VARLAMOFF**

**Legal Affairs and Human
Resources Director**

Lawyer, Strategic contracts manager & HR supervisor

- **LAWYER,** graduated from Paris' Bar School
- After spending few years as a lawyer in Paris, mainly in the fields of Intellectual Property rights protection and communication law, Vanina joined Carbios in 2017 as Legal Manager. Now Director of Legal Affairs and Human Resources, she is mainly in charge of analyzing and drafting strategic contracts. She also supervises the Human Resources department of the Company.



*: Appointed by Carbios' Board of Directors as new CEO of the Company as of December 1st, 2021

Ambition



LEAD THE TRANSITION TOWARDS SUSTAINABLE PLASTICS ECONOMY BY DEVELOPING TECHNOLOGIES FOR THE END OF LIFE OF PLASTICS

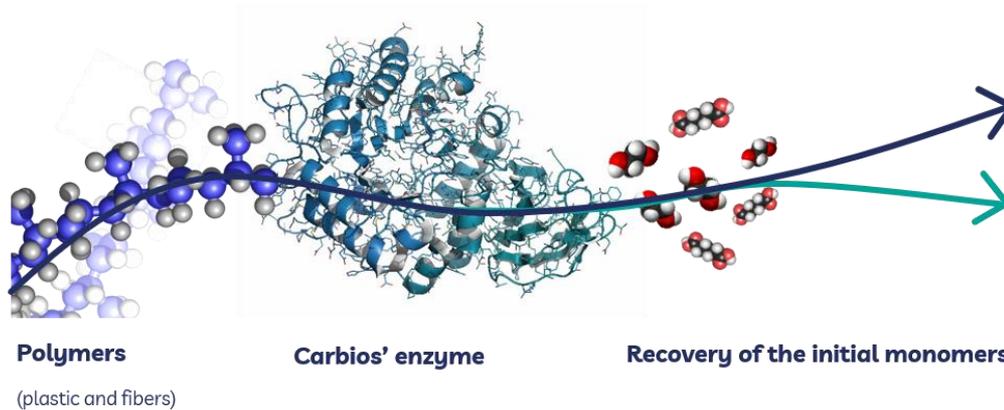
Technologies



REVOLUTIONARY ENZYMES FOR INFINITE RECYCLING AND BIODEGRADATION OF PLASTICS

Enzymes to fully break down plastics

Enzymes are the new high-performance catalysts for the chemical industry



ENZYMATIC DEPOLYMERIZATION OF THE POLYMERS

Repolymerization of monomers into polymers



ENZYMATIC RECYCLING

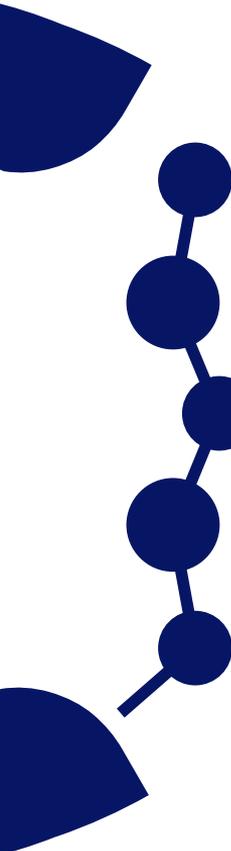
POTENTIAL RECOVERIES



BIODEGRADATION

Bioassimilation of the products of degradation by microorganisms present in nature

Agenda



1

Addressing high growth potential markets with strong drivers

2

C-ZYME®: world's first enzymatic technology to recycle PET-based plastics and fibers

3

EVANESTO®: unique enzymatic biodegradation technology for PLA-based plastics

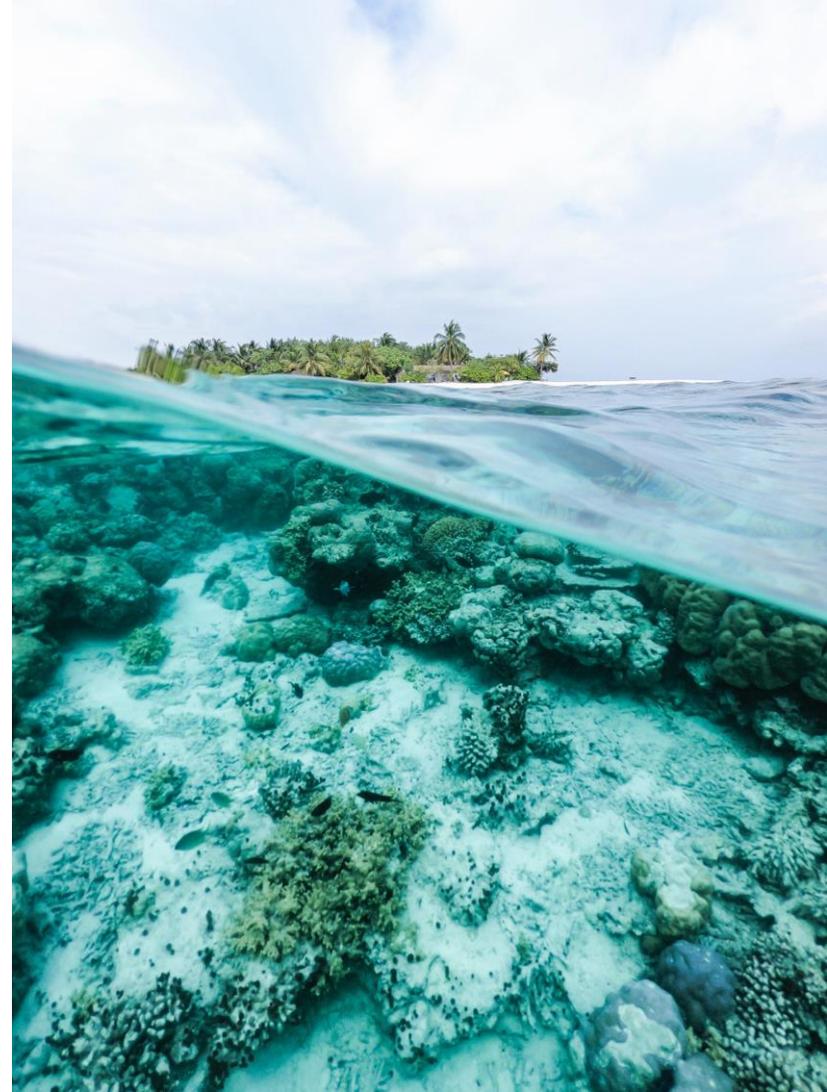


CARBIOS

Enzymes powering the Circular Economy



ADDRESSING HIGH GROWTH
POTENTIAL MARKET WITH STRONG
DRIVERS



The situation – Environmental issue

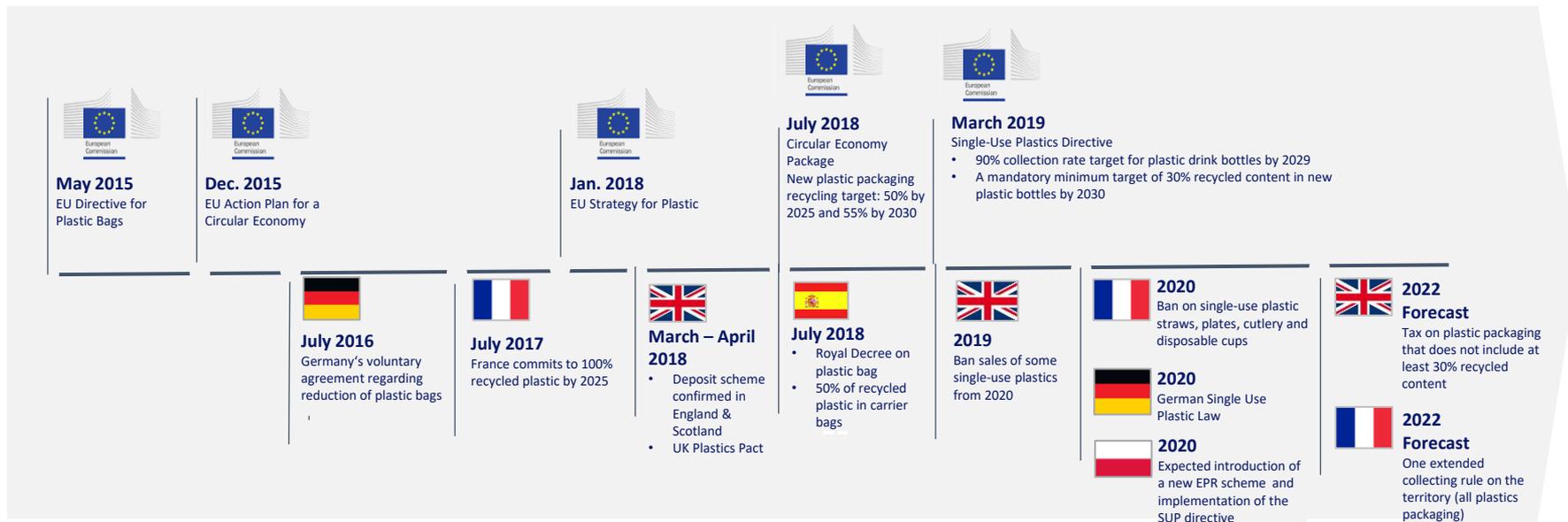
Each year...

- 86% of packaging waste goes unrecycled globally⁽¹⁾.
- **350 to 400 million tons**⁽²⁾ of plastic are produced worldwide, including **70 million tons of PET**⁽³⁾ worth approx. \$80 billion (a market growing by 4% p.a.⁽⁴⁾).
- **150 million tons**⁽⁵⁾ of plastic waste are generated including **9 million tons**⁽⁶⁾ that ultimately end up in the natural environment, particularly in our seas and oceans.
- **500 billion units**⁽⁷⁾ of plastic bottles are produced each year and fewer than half are collected for recycling.
- Up to **\$120 billion**⁽⁸⁾ worth of plastic material is disposed of just after one single-use.



The situation – A growing regulatory pressure

Regulatory pressure is also growing at both the EU and national levels.



Many European initiatives and associations such as the European Plastics Pact and the Circular Plastic Alliance, bring together several actors from the industry, academics, and public authorities, to accelerate the transition towards Circular Economy. This is made possible by considering the whole plastic value chain, and notably by aiming at boosting the recycled plastic EU market.

Strong drivers for more sustainable solutions



<p>12 RESPONSIBLE CONSUMPTION AND PRODUCTION</p>	<p>13 CLIMATE ACTION</p>	<p>14 LIFE BELOW WATER</p>
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July 2020: "by 2025, 100% of our plastic packaging will be refillable, reusable, recyclable or compostable"



Oct. 2016: "design 100% of its packaging to be recyclable, compostable or biodegradable by 2025"



Jul. 2017: "100% of packaging recyclable, reusable or compostable by 2025" and "Recycled plastic content to at least 25% by 2025"



Good Food, Good Life
Jan. 2019: "Nestlé Waters will increase the recycled PET content in its bottles to 35% by 2025"



Sept 2019: "Our ambition? Offer 100% sustainable plastic bottles made from recycled or bio-sourced materials for our entire beverage portfolio."



Mar. 2019: H&M Group signatory of the New Plastics Economy Global Commitment. "Our business to become 100% circular and renewable"



C-ZYME®: WORLD'S FIRST
ENZYMATIC TECHNOLOGY TO
RECYCLE AND REUSE PET-BASED
PLASTICS AND FIBERS



How does it work?



Benefits of the technology



RECYCLING PET PLASTICS INFINITELY AND CONTRIBUTING TO ACHIEVING 100% RECYCLED PET CONTENT IN NEW PRODUCTS

- 100% recycled PET
- A process that can handle all forms of PET plastics (clear, colored, opaque, multi-layer...) and polyester fibers
- No inhibition of the enzyme by colorants, pigments, carbon black or other polymers (PE, PA, PVC...)
- Depolymerization at low temperature, atmospheric pressure, water based
- Diversion of all PET-based plastics and polyester fibers from landfills and incineration



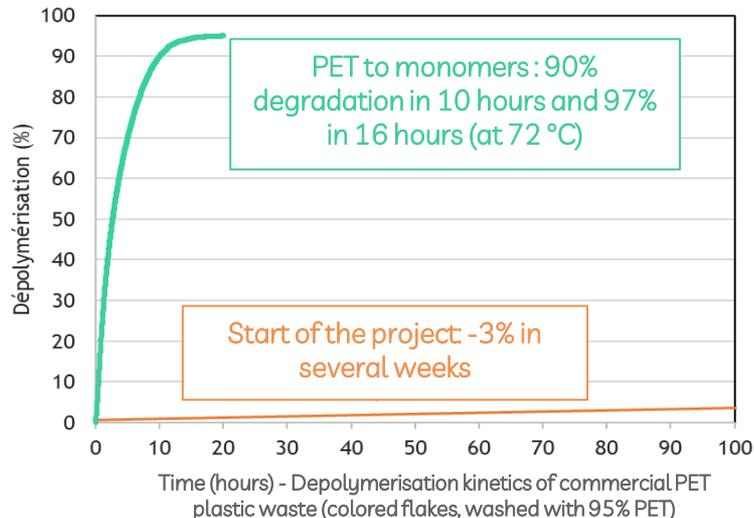
Carbios journey towards industrialization

Scientific validation

- Publication in Nature¹, in April 2020, co-authored by Carbios' CSO together with scientists from Carbios and the Toulouse Biotechnology Institute.

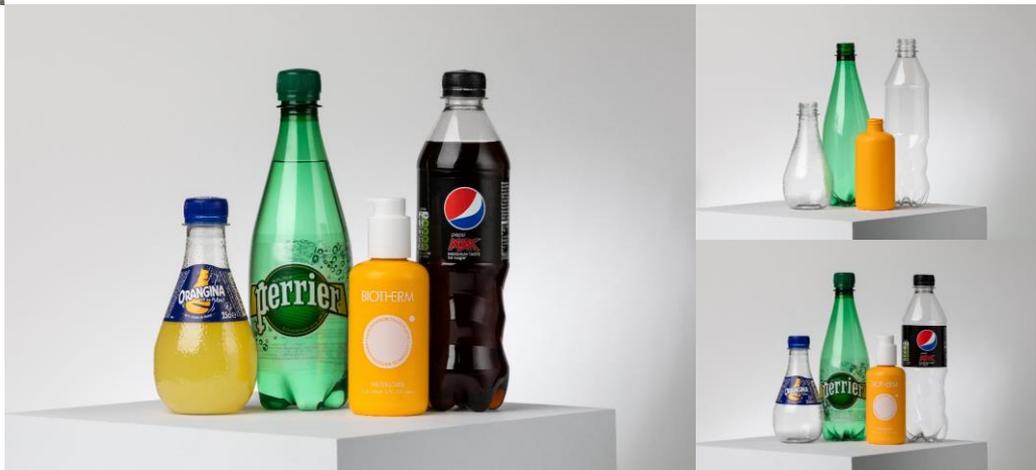


¹: Vol 580, 9 April 2020



Market validation

- Our 4 brand-owners partners, L'Oréal, Nestlé Waters, PepsiCo and Suntory Beverage and Food Europe have presented in June 2021 one of their packaging manufactured in industrial conditions, made with product coming from our technology, food-contact approved.



World's first food-grade 100% rPET bottles produced from enzymatically recycled PET
[\(See Press release published on June 24, 2021\)](#)

Carbios demonstration-scale facility

Industrial validation

Sept. 2021:

- Start-up of Carbios demonstration facility, which prefigures the design and operations of future industrial units.

Objectives by the end of 2022:

- Technical data generation
- Main parameters definition for each step of the enzymatic recycling process
- Future industrial Unit operations planification
- Fully operational Process Design Package (PDP)



Carbios depolymerization Unit for the conversion of PET waste into monomers

- Engineering partner for the design and construction:



Toward the first large-scale industrial Unit

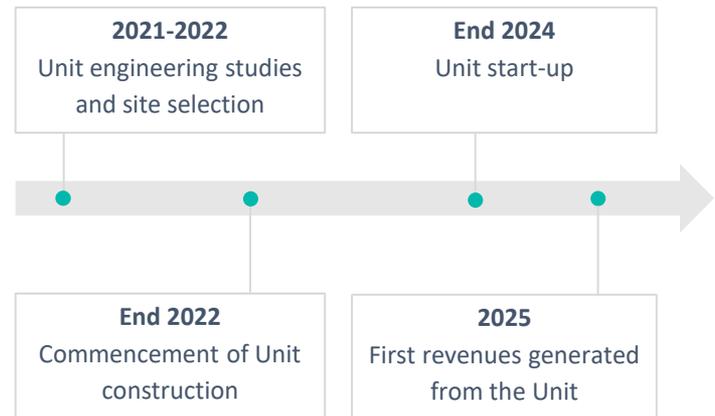
Industrialization

Next major step in the development of technology with the building of a first of a kind 100% PET recycling facility (the “Unit”)

■ Characteristics of the Unit

- annual production of c. 40,000 tons per year
- Potential saving of 30% CO₂ emissions compared to a conventional end of life mix of incineration and landfill, taking into account virgin PET production substitution⁽¹⁾

■ Key timetable for the building of the Unit⁽²⁾



PET Brand Owners consortium agreement

GLOBAL KEY PLAYERS TEAM UP TO BOOST RECYCLABILITY OF PET PLASTIC PRODUCTS

- A 4-year agreement signed in April 2019
- Accelerate the technology's readiness and bring it to full industrial scale
- Increase the availability of high-quality recycled plastics
- Demonstrate the technical, economic and environmental efficiency of Carbios' technology
- Support the structuring of an industrial value chain for an efficient supply of consumer-grade PET



L'ORÉAL



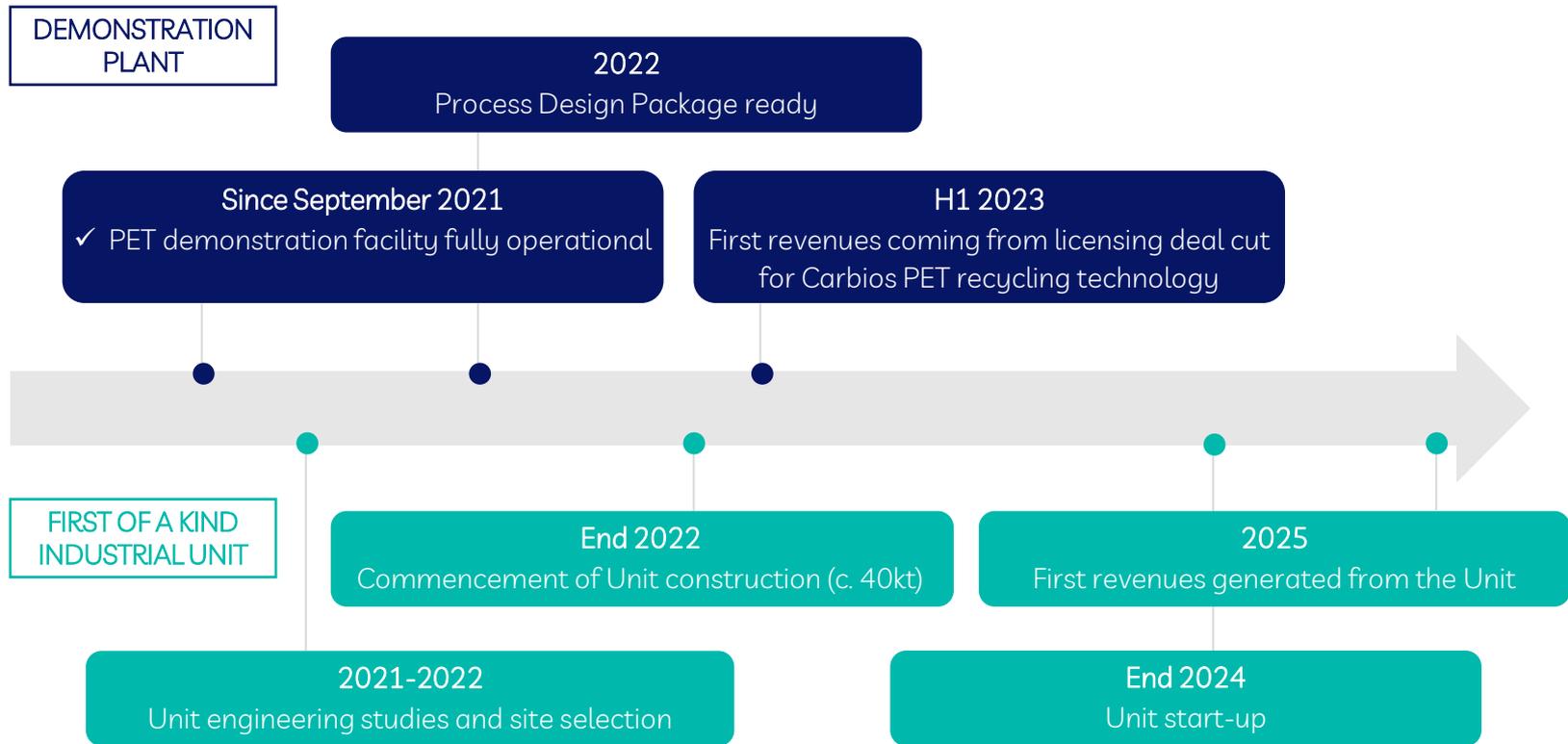
Carbios creates value for all players of the value chain



PET Recycling: our business model



What we plan to deliver next?





CARBIOLICE

Biodegradability is the future

EVANESTO[®], a unique enzymatic biodegradation technology for PLA-based single use plastics



Carbiolice industrial production facility

Carbiolice at a glance



Founded in 2016	26 employees
1 shareholder  CARBIOS Enzymes powering the Circular Economy	20 Patent families



- An enzyme-based additive
- Makes PLA-based plastics fully compostable, even from home

Jan. 2019: Joint Development Agreement between Carbios,  Carbiolice and **NOVOZYMES**
Rethink Tomorrow

How does it work?

Step by step



ACCELERATES THE BIODEGRADATION OF PLA-BASED PLASTICS AND GUARANTEES COMPOSTABILITY IN LESS THAN 200 DAYS.

Key takeaways

1

A pioneer in the development of enzymes for plastic processing

2

Breakthrough technologies to disrupt the plastic industry

3

Huge market opportunities and growth potential

4

Numerous strategic partnerships with worldwide blue-chip industry experts

5

Major milestones ahead including reaching licensing stage end of 2022/ early 2023 and first substantial revenues generation

6

Highly experienced management supported by renowned shareholders and partners

APPENDIX



€30 million EIB loan granted to Carbios (Dec 20, 2021)

Financial terms

- Disbursement in one single tranche expected during the first quarter of 2022
- Fixed semi-annual cash interest: 5%
- Maturity: 8 years
- Warrants:
 - Issuance of 2.5% of the fully diluted share capital in warrants to the benefit of the EIB, of which :
 - 1.25% with a strike at €40 per share ; and
 - 1.25% with a strike at €38.8861 per share¹.
 - The creation and issuance of these EIB warrants, and therefore the disbursement of the €30 million loan are subject to shareholders' approval at the General shareholders' meeting to be held on February 2, 2022.

¹: Exercise price corresponding to the volume-weighted average of the trading price of an ordinary Share of the Company over the last three (3) Trading Days preceding the fifth day (5) day prior to the Signing Date.

General Meeting to be held on February 2, 2022

Main proposals and Corporate Governance Policy changes since the previous AGM

PROPOSALS	GENERAL MEETING TO BE HELD ON FEBRUARY 2, 2022	PREVIOUS ANNUAL GENERAL MEETING (June 2021)
Authority to issue Shares and Convertible Debt w/ Preemptive Rights or increase capital through capitalisations	<ul style="list-style-type: none"> • Issuance limited to 50% of the share capital • No possible use in case of public offering, unless approved by shareholders 	<ul style="list-style-type: none"> • Anti take-over device
Authority to issue Shares and Convertible Debt w/o Preemptive Rights	<ul style="list-style-type: none"> • Potential dilution : 10% • Potential discount :10% • No possible use in case of public offering, unless approved by shareholders 	<ul style="list-style-type: none"> • Potential dilution: 26.9% • Potential discount: 20% • Anti take-over device
Authority to issue Shares and Convertible Debt through Private Placement		
Authority to issue Shares and Convertible Debt w/o Preemptive Rights (Qualified investors)		
Authority to Grant Warrants (BSA/BSPCE)	<ul style="list-style-type: none"> • Subject to performance targets for the Executive Committee, which are linked to achieving a production in line with the business plan, signing contracts, reaching economic indicators or exceeding a certain share price • Potential dilution: 2% 	<ul style="list-style-type: none"> • Undisclosed performance criteria • Potential dilution of 7.9%
Authority to Grant Warrants to the benefit of the EIB	<ul style="list-style-type: none"> • Dedicated proposal to enable the disbursement of the €30 million loan granted to Carbios by the European Investment Bank 	

Intellectual Property

Carbios holds 39 patent families worldwide, including 19 protecting its recycling process as well as the associated proprietary PET-degrading enzymes



Patents granted in:

Europe, United-States, Canada, Mexico, China, India, Japan...



WORLDWIDE EXCLUSIVE EXPLOITATION RIGHTS

On all results of R&D collaborations

A UNIQUE KNOW-HOW

In the development of bioprocesses combining enzymes & polymers

A STRONG INTELLECTUAL PROPERTY

Protecting the applicative use of enzymes, processes and products

A dedicated IP strategy

19 PATENT FAMILIES PROTECTING THE ENZYMATIC RECYCLING OF PET

BIODIVERSITY (PET ENZYMES)
11 PATENT FAMILIES

BIORECYCLING PROCESS
8 PATENT FAMILIES

- A unique know-how in the development of applicative bioprocesses combining enzymes and polymers

... from which Carbios owns worldwide exclusive exploitation rights



IP to be continuously reinforced

Share & Shareholders

as of June 30, 2021

MARKET Euronext Growth Paris

MNEMO/REUTERS/BLOOMBERG ALCRB / ALCRB.PA / ALCRB:FP

SHARES ISIN CODE FR0011648716

NUMBER OF SHARES 11,164,472

ICB CLASSIFICATION Chemistry / Speciality chemistry

INDICES Euronext Growth All-share, Euronext Growth Bpifrance Innovation, Euronext Tech Croissance, CAC PME, Enternext PEA-PME

FRENCH PEA-PME ELIGIBILITY Yes

ANALYST COVERAGE



Baptiste de Leudeville



Xavier Regnard



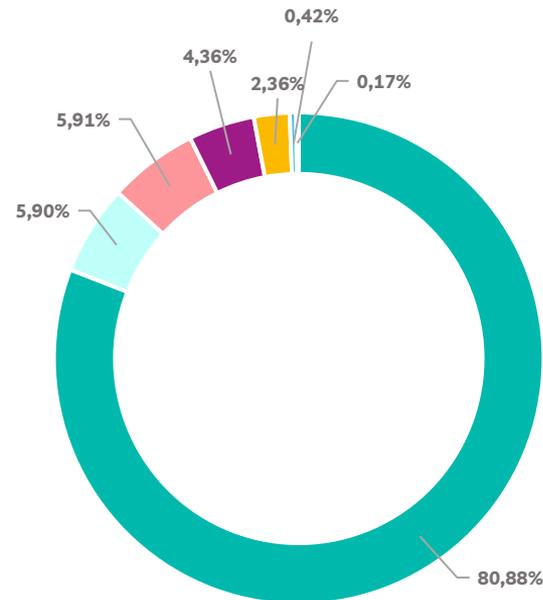
Alexandre Letz



Hana Maalej



Laurent Gelebart



- Free Float
- HNWI
- BOLD (L'Oréal)
- Michelin Ventures
- Group L'Occitane
- Truffle Capital
- Treasury shares & Directors



Our Board of Directors



IAN HUDSON

Chairman

- Ex President EMEA DuPont
- Ex Board member Europabio



**TRUFFLE CAPITAL,
REPRESENTED BY
DR. PHILIPPE
POULETTY**

- Co-Founder & Executive Director of Truffle Capital



JEAN FALGOUX

- Ex Corp. Officer Ajinomoto Inc.
- Ex VP Ajinomoto Europe
- Ex Senior VP Hoechst Roussel



JACQUELINE LECOURTIER

- Ex General Director ANR (National Research Agency)
- Ex Scientific Director IFP (A Public Research Innovation Organization)



JACQUES BREUIL

- Ex General Secretary of the Barbier Group



ALAIN CHEVALLIER

- Partner Life Sciences, Truffle Capital
- Ex CFO Sanofi-Aventis France



MIEKE JACOBS

- Independent executive team facilitator
- Senior advisor to executive teams and transformational faculty



VINCENT KAMEL

- Managing Director of the Solvay Polyamide Division
- Director of Coatis Business Unit
- Asia Director for Engineering plastics

BOLD

Business Opportunities for L'Oréal Development

Laurent SCHMITT

- BOLD, Business Opportunities for L'Oréal Development is a private equity fund created by L'Oréal to support the development of innovative start-ups.



Nicolas SEEBOTH

- Michelin Venture is a fund created by Michelin and launched in 2018 in order to materialize Michelin's open innovation approach and to invest in high-tech materials that include a sustainable development dimension.

2021 Highlights

SCIENTIFIC MILESTONES:

- **Michelin has successfully validated the use of Carbios' enzymatic recycling technology** for PET plastic waste in its tires (April 2021)
- Successful production of **food-grade PET plastic bottles produced entirely from enzymatically recycled plastic.** (June 2021)

CORPORATE:

- **Successful €114 million capital increase** (May 2021)
- **Full takeover of Carbiolice through the acquisition of the SPI fund's equity** (June 2021)
- Carbios awarded as **Technology Pioneer** by **World Economic Forum** (June 2021)
- **World's first samples of food-grade PET plastic bottles** manufactured from **enzymatically recycled plastic.** (June 2021)
- **Successful start-up of the Company's PET recycling demonstration facility** in Clermont-Ferrand, France (Sept. 2021)
- **Appointment of Emmanuel Ladent as Chief Executive Officer of the Company** as of December 1st 2021 (Nov. 2021)
- **€3 million grant from the EU** through the program LIFE (Nov. 2021)
- **€30 million EIB loan** received by Carbios (Dec. 2021)

Cash Flow Statement

Cash flow (in thousands of euros)	December 31, 2020	June 30, 2021
Cash at the opening	15,915	29,097
Net cash from operations	(5,169)	(4,723)
Net cash from investments	(12,668)	(20,908)
Net cash from financing operations	31,020	108,766
<i>Change in cash</i>	13,183	83,135
CLOSING CASH	29,097	112,232

Half-year 2021 Income Statement

(In thousands of euros)	June 30, 2020	June 30, 2021
Operating revenue	849	568
Operating expenses	3,567	6,143
Operating Income	(2,718)	(5,575)
Financial Income	(50)	(39)
Current Income before Tax	(2,768)	(5,614)
Extraordinary profit (loss)	39	15
Income tax (Tax research credit)	(469)	(1,182)
NET INCOME (LOSS)	(2,260)	(4,417)

Simplified Balance Sheet

Assets (in thousands of euros)	December 31, 2020	June 30, 2021
<i>Intangible assets</i>	1,086	1,301
<i>Tangible assets</i>	4,793	8,179
<i>Financial assets</i>	20,907	38,804
Fixed Assets	26,786	48,284
<i>Inventory</i>	39	54
<i>Receivables</i>	2,150	3,555
<i>Cash and marketable securities</i>	29,097	112,232
<i>Pre-paid expenses</i>	139	518
Current Assets	31,425	116,359
<i>Deferred financing costs</i>	17	15
TOTAL ASSETS	58,228	164,658

Liabilities & Equity (in thousands of euros)	December 31, 2020	June 30, 2021
Shareholder's equity	45,134	149,538
Conditional advances	4,173	4,173
<i>Loans</i>	5,647	5,593
<i>Trade payables and related accounts</i>	1,952	3,498
<i>Other liabilities</i>	1,146	1,378
<i>Prepaid income</i>	176	478
Payables	8,921	10,948
TOTAL LIABILITIES & EQUITY	58,228	164,658

Our Values

Our corporate culture focuses on 4 strong pillars shared by our employees and our partners.

RESPECT for people: Our employees are our most precious assets. At Carbios, we aim to respect people in their diversity and ensure an ideal, stimulating work environment. The key importance of respect at Carbios also concerns the integrity of our words and actions with our partners.

COMMITMENT for the Planet: By proposing sustainable solutions to rethink the end-of-life of plastic materials and textiles, Carbios shows its commitment to protecting the environment and its desire to support a Circular Economy that benefits future generations.

INNOVATION benefiting industrial reality: Thanks to high level scientific research combining for the first time the world of enzymology and plastics, we innovate every day to be able to offer sustainable and industrial solutions that address the major environmental and societal challenges of our time.

AGILITY serving performance: The agility of our teams along with the diversity of their expertise is one of Carbios' major strengths enabling us to provide the best in innovation to our partners.



Thank you for your attention.

Your contact:

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